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Axisymmetric Harmonic Infrapolynomials in  $R^N$ 

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## 1. INTRODUCTION

During the last two decades we have witnessed an intensive development of the subject of infrapolynomials on sets  $\omega \subset \mathbf{C}$ . As we recall, an infrapolynomial on  $\omega$  is a polynomial  $p \in \mathbf{P} = \{\zeta^n + \sum_1^n a_k \zeta^{n-k}\}$  such that there exists no other  $q \in \mathbf{P}$  for which  $q(\zeta) = 0$  for  $\zeta \in \omega' = \{\zeta \in \omega: p(\zeta) = 0\}$  and  $|q(\zeta)| < |p(\zeta)|$  for  $\zeta \in \omega - \omega'$ . A leader in this development was Professor Walsh, the man whom we are honoring and of whom I was privileged to be the first Ph. D. student.

In the present paper we attempt a parallel development for harmonic infrapolynomials on three-dimensional sets. Our results will be expressed in terms of three coordinate systems in  $\mathbf{R}^3$ : rectangular  $(x, y, z)$ ; cylindrical  $(x, \rho, \phi)$  with

$$\rho^2 = y^2 + z^2, \quad y = \rho \cos \phi, \quad z = \rho \sin \phi;$$

and spherical  $(r, \theta, \phi)$  with

$$x = r \cos \theta, \quad \rho = r \sin \theta. \quad (1.1)$$

By an *axisymmetric function* in  $\mathbf{R}^3$  we mean one that is independent of  $\phi$ ; that is, a function which assumes the same value at all points of the circle  $x = x_0$ ,  $\rho = \rho_0$  [abbreviated: circle  $(x_0, \rho_0)$ ]. As the domain of such a function, we take an *axisymmetric set*  $\Omega$  in  $\mathbf{R}^3$ ; that is a set such that, if point  $(x_0, \rho_0, \phi_0) \in \Omega$ , also point  $(x_0, \rho, \phi) \in \Omega$  for all  $\rho$  and  $\phi$ ,  $0 \leq \rho \leq \rho_0$  and  $0 \leq \phi \leq 2\pi$ . Thus an axisymmetric set  $\Omega$  may consist of points on the  $x$ -axis,

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circular disks having their centers on the  $x$ -axis and their planes perpendicular to the  $x$ -axis, and the interiors of surfaces of revolutions which are cut in a single circle by any plane perpendicular to the  $x$ -axis. The meridian section  $\omega \subset \mathbb{C}$  of  $\Omega$  is an *axiconvex* region, meaning that  $\zeta \in \omega$  implies  $\lambda\zeta + (1 - \lambda)\bar{\zeta} \in \omega$  for all real  $\lambda$ ,  $0 \leq \lambda \leq 1$ .

Let us first consider axisymmetric harmonic polynomials  $H(x, \rho)$  of degree  $n$ . As is well known [5, p. 254], every such polynomial can be written in the form

$$H(x, \rho) = \sum_{j=0}^n a_j r^j P_j(x/r), \quad (1.2)$$

where  $P_j(u)$  is the Legendre polynomial of degree  $j$ .

Of special importance is the class

$$\mathbf{H} = \{H(x, \rho): a_n = 1\} \quad (1.3)$$

of axisymmetric harmonic polynomials with leading coefficient of one and therefore strictly of degree  $n$ . Let us compare two polynomials  $P(x, \rho) \in \mathbf{H}$  and  $Q(x, \rho) \in \mathbf{H}$  on a given axisymmetric set  $\Omega$  in  $\mathbb{R}^3$  relative to some suitably defined norm  $\|H(x, \rho)\|$ . We say that  $Q$  is an underpolynomial of  $P$  on  $\Omega$  if

$$\|Q(x, \rho)\| < \|P(x, \rho)\| \quad (1.4)$$

for all circles  $(x, \rho) \subset \Omega$ . Let us denote by  $U(P, \Omega)$  the class of all underpolynomials of  $P$  on  $\Omega$ . If  $U(P, \Omega) = \emptyset$  for some  $P \in \mathbf{H}$ , we say that  $P$  is an *axisymmetric harmonic infrapolynomial on  $\Omega$* .

In the sequel we shall investigate the properties of the class  $\mathbf{I}(\Omega)$  of axisymmetric harmonic infrapolynomials on a given axisymmetric set  $\Omega$ . We shall determine some conditions on  $P \in \mathbf{H}$  in order that  $P \in \mathbf{I}(\Omega)$  and also determine the location of the zeros of all  $P \in \mathbf{I}(\Omega)$  in relation to the set  $\Omega$ . In order to do this, we shall bring together the methods of two hitherto disjoint disciplines the theory of infrapolynomials on sets  $\omega \subset \mathbb{C}$  and the theory of a certain integral operator, whose development is largely due to Professor Stefan Bergman; see [1 and 2].

## 2. INTEGRAL REPRESENTATIONS FOR $H(x, \rho)$ AND $\|H(x, \rho)\|$

Let us define as the *associate* of  $H(x, \rho)$  the polynomial

$$h(\zeta) = \sum_{k=0}^n a_k \zeta^k, \quad a_n = 1, \quad \zeta \in \mathbb{C}. \quad (2.1)$$

Thus  $\zeta^n$  is the associate of  $r^n P_n(x/r)$ .

In view of the Whittaker formula [4, p. 312–315],

$$r^k P_k(x/r) = (1/2\pi) \int_0^{2\pi} (x + ip \cos t)^k dt, \quad (2.2)$$

we have the result:

**THEOREM 2.1.** *Let  $H(x, \rho)$  be an axisymmetric harmonic polynomial and let  $h(\zeta)$  be its associate.*

*Then*

$$H(x, \rho) = (1/2\pi) \int_0^{2\pi} h(x + ip \cos t) dt. \quad (2.3)$$

More generally, if  $f(\zeta)$  is analytic in a region  $\omega$  which is the meridian section of an axisymmetric region  $\Omega$ , then

$$F(x, \rho) = (1/2\pi) \int_0^{2\pi} f(x + ip \cos t) dt \quad (2.4)$$

satisfies Laplace's equation  $\nabla^2 F = 0$  and so is an axisymmetric harmonic function in  $\Omega$ . In fact, (2.3) and (2.4) are special cases of the operator introduced by Bergman [1, p. 43]:

$$F(x, y, z) = (1/2\pi i) \int_{|\tau|=1} f(\zeta, \tau) \tau^{-1} d\tau, \quad (2.5)$$

acting upon the function  $f(\zeta, \tau)$  that is analytic in  $\zeta$  on some region in  $\mathbb{C}$  and continuous in  $\tau$  for  $|\tau| = 1$ . On setting

$$\zeta = x + (1/2)(yi + z)\tau + (1/2)(yi - z)\tau^{-1}, \quad (2.6)$$

the operator transforms  $f(\zeta, \tau)$  into the function  $F(x, y, z)$  which together with  $\mathcal{A}F(x, y, z)$  and  $\mathcal{J}F(x, y, z)$  is harmonic in a certain region of  $\mathbb{R}^3$ .

In view of the integral representation (2.3) for an axisymmetric harmonic polynomials  $H(x, \rho)$ , it is natural to define the norm  $\|H(x, \rho)\|$  of  $H(x, \rho)$  by the formula

$$\|H(x, \rho)\|^2 = (1/2\pi) \int_0^{2\pi} |h(x + ip \cos t)|^2 d\sigma(t). \quad (2.7)$$

Here and in the subsequent formulas,  $\sigma(t)$  denotes a monotonically increasing function for  $0 \leq t \leq 2\pi$ . In the special case  $\sigma(t) \equiv t$ , we denote as norm  $\|H(x, \rho)\|_t$ . Thus,

$$\|r^n P_n(x/r)\|^2 = (1/2\pi) \int_0^{2\pi} (x^2 + \rho^2 \cos^2 t)^n d\sigma(t).$$

More generally, using (1.2), we may expand (2.7) as a hermitian form in the  $a_k$ , the coefficients of which form are homogeneous polynomials in  $x$  and  $\rho$ .

Let us now consider the harmonic polynomial  $H(x, \rho)$  which has for its associate

$$h(\zeta) = p(\zeta) q(\zeta), \quad (2.8)$$

where  $p$  and  $q$  are, respectively, polynomials of degrees  $k$  and  $n - k$ . Let us denote by  $P(x, \rho)$  and  $Q(x, \rho)$  the axisymmetric harmonic polynomials which have  $p(\zeta)$  and  $q(\zeta)$ , respectively, as associates. To indicate a kind of factor relation among  $H(x, \rho)$ ,  $P(x, \rho)$  and  $Q(x, \rho)$ , we follow Bergman in defining the operation

$$P(x, \rho)^* Q(x, \rho) = (1/2\pi) \int_0^{2\pi} p(x + i\rho \cos t) q(x + i\rho \cos t) d\sigma(t). \quad (2.9)$$

Thus, whereas the product  $P(x, \rho) Q(x, \rho)$  is not ordinarily harmonic, the product  $P(x, \rho)^* Q(x, \rho)$  is harmonic and so the operation converts the family of axisymmetric harmonic polynomials into an algebra.

Obviously we may express the norm of any axisymmetric harmonic polynomial  $H(x, \rho)$  in terms of the product in (2.9), as follows.

**THEOREM 2.2.** *If  $H(x, \rho)$  is any axisymmetric harmonic polynomial, its norm  $\|H(x, \rho)\|$  as defined by (2.7) satisfies the relation*

$$\|H(x, \rho)\|^2 = H(x, \rho)^* \overline{H(x, \rho)}. \quad (2.10)$$

The product  $P(x, \rho)^* \overline{Q(x, \rho)}$  is in general not a harmonic function but serves the purpose of "inner vector product" in the space of axisymmetric harmonic function.

We now prove the following theorem.

**THEOREM 2.3.** *Let  $P(x, \rho)$  and  $Q(x, \rho)$  be any two axisymmetric harmonic polynomials. Then*

$$|P(x, \rho)^* Q(x, \rho)| \leq \|P(x, \rho)\| \|Q(x, \rho)\|. \quad (2.11)$$

*Proof.* Using (2.9) and Schwarz inequality, we infer that

$$\begin{aligned} |P(x, \rho)^* Q(x, \rho)| &\leq (1/2\pi) \int_0^{2\pi} |p(x + i\rho \cos t) q(x + i\rho \cos t)| d\sigma \\ &\leq \left\{ (1/2\pi) \int_0^{2\pi} |p(x + i\rho \cos t)|^2 d\sigma \right\}^{1/2} \\ &\quad \times \left\{ (1/2\pi) \int_0^{2\pi} |q(x + i\rho \cos t)|^2 d\sigma \right\}^{1/2}. \end{aligned}$$

That is, (2.11) is valid for all  $(x, \rho)$ .

If we choose  $Q(x, \rho) = 1$  and  $\sigma(t) = t$  in Theorem 2.3, we obtain the following result.

**COROLLARY 2.1.** *If  $H(x, \rho)$  is an axisymmetric harmonic polynomial, then for all  $(x, \rho)$*

$$|H(x, \rho)| \leq \|H(x, \rho)\|_t. \quad (2.12)$$

As may be seen from (2.7), the equality sign holds in both (2.11) and (2.12) when  $\rho = 0$  and when  $P$ ,  $Q$  and  $H$  are each constants, but does not seem to hold in any other case.

### 3. STRUCTURE OF AXISYMMETRIC HARMONIC INFRAPOLYNOMIALS

We shall now use well-known theorems about the structure of infrapolynomials on  $\omega \subset \mathbb{C}$  in order to get some corresponding results regarding axisymmetric harmonic infrapolynomials on  $\Omega \subset \mathbb{R}^3$ . It will be helpful first to prove the following.

**THEOREM 3.1.** *Let  $P(x, \rho) \in \mathbf{H}$ , the class of axisymmetric harmonic polynomials defined by (1.3). Let  $\omega \subset \mathbb{C}$  be a bounded axiconvex region and  $\Omega \subset \mathbb{R}^3$  be the axisymmetric region having  $\omega$  as its meridian section. If  $P(x, \rho)$  is an infrapolynomial on the closure  $\bar{\Omega}$  of  $\Omega$ , then its associate  $p(\zeta)$  is an infrapolynomial on the closure  $\bar{\omega}$  of  $\omega$ .*

*Proof.* If the contrary were true,  $p$  would have an underpolynomial  $q$  on  $\omega$ ; that is,

$$|q(\zeta)| = |p(\zeta)| \quad \text{for } \zeta \in \omega' = \{\zeta \in \bar{\omega} : p(\zeta) = 0\}, \quad (3.1)$$

$$|q(\zeta)| < |p(\zeta)| \quad \text{for } \zeta \in \bar{\omega} - \omega'. \quad (3.2)$$

Let  $q(\zeta)$  be the associate of  $Q(x, \rho)$ . Clearly,  $Q(x, \rho) \in \mathbf{H}$  and

$$\begin{aligned} \|Q(x, \rho)\|^2 &= (1/2\pi) \int_0^{2\pi} |q(x + i\rho \cos t)|^2 d\sigma \\ &< (1/2\pi) \int_0^{2\pi} |p(x + i \cos t)|^2 d\sigma = \|P(x, \rho)\|^2. \end{aligned}$$

Hence,  $P(x, \rho)$  would have an underpolynomial  $Q(x, \rho)$  on  $\bar{\Omega}$ , contradicting the hypothesis that  $P(x, \rho)$  is an infrapolynomial on  $\bar{\Omega}$ .

For example, since in  $\mathbb{C}$   $\zeta^n$  is an infrapolynomial on the unit disk  $|\zeta| \leq 1$ ,

we infer that, in  $\mathbb{R}^3$ ,  $r^n P_n(x/r)$  is an infrapolynomial on the unit ball  $x^2 + \rho^2 \leq 1$ .

We now propose to use Theorem 3.1 in conjunction with the following well-known result due to Fekete [3, pp. 15–19].

**THEOREM 3.2.** *Let  $E$ , a closed bounded set in  $\mathbb{C}$  containing at least  $n + 1$  points, have an infrapolynomial  $p(\zeta)$ , with  $p(\zeta) \neq 0$  for  $\zeta \in E$ . Then there exist an integer  $m$  with  $n \leq m \leq 2n$ , a set of  $m + 1$  constants  $\lambda_j > 0$  with  $\lambda_0 + \lambda_1 + \dots + \lambda_m = 1$  and a set of  $m + 1$  points  $\{\zeta_0, \zeta_1, \dots, \zeta_m\} \subset E$  such that  $p(\zeta)$  is a factor of the polynomial*

$$f(\zeta) = \sum_{k=0}^m \lambda_k \psi_k(\zeta) \quad (3.3)$$

where

$$\psi_k(\zeta) = (\zeta - \zeta_0) \cdots (\zeta - \zeta_{k-1})(\zeta - \zeta_{k+1}) \cdots (\zeta - \zeta_m). \quad (3.4)$$

In applying Theorem 3.2, we need to choose  $E$  to be a bounded axiconvex region  $\omega$ .

For, the integration in (2.3) requires that, if point  $x + i\rho \in \omega$ , then also point  $x + i\rho \cos t \in \omega$  for  $0 \leq t \leq 2\pi$ . In view of Theorems (3.1) and (3.2), we are led now to the following theorem.

**THEOREM 3.3.** *Let  $\Omega$  be a bounded axisymmetric region in  $\mathbb{R}^3$  and let  $P(x, \rho)$  be an  $n$ -th degree axisymmetric harmonic infrapolynomial on the closure of  $\Omega$ . Then there exist an integer  $m$ ,  $n \leq m \leq 2n$ , a set of  $m + 1$  constants  $\lambda_j > 0$  with  $\lambda_0 + \lambda_1 + \dots + \lambda_m = 1$ , a set of circles*

$$(x_0, \rho_0; x_1, \rho_1; \dots; x_m, \rho_m) \subset \Omega,$$

and an axisymmetric harmonic polynomial  $G(x, \rho)$  of degree  $m - n$  such that

$$\sum_{k=0}^m \lambda_k \Psi_k(x, \rho) = P(x, \rho)^* G(x, \rho), \quad (3.5)$$

where  $\Psi_k(x, \rho)$  is the axisymmetric harmonic polynomial

$$\Psi_k(x, \rho) = -(1/2\pi)(\partial/\partial x_k) \int_0^{2\pi} \prod_{j=0}^m (x + i\rho \cos t - x_j - i\rho_j) dt. \quad (3.6)$$

*Proof.* Since  $P(x, \rho)$  is an infrapolynomial on  $\Omega$ , its associate  $p(\zeta)$  is by Theorem 3.1 an infrapolynomial on  $\omega$ , the meridian section of  $\Omega$ . By Theorem 3.2, there is a polynomial  $g(\zeta)$  of degree  $m - n$  such that

$f(\zeta) = p(\zeta)g(\zeta)$ . Hence  $f(\zeta)$  is the associate of  $P(x, \rho)^* G(x, \rho)$ , where  $G(x, \rho)$  is the axisymmetric harmonic polynomial having  $g(\zeta)$  as associate. On the other hand,  $f(\zeta)$  may be written in the form (3.3) and so we are led to (3.5).

#### 4. NULL CIRCLES OF AXISYMMETRIC HARMONIC INFRAPOLYNOMIALS

By a *null circle*  $(x_0, \rho_0)$  of an axisymmetric harmonic polynomial  $H(x, \rho)$  we mean that  $H(x_0, \rho_0) = 0$ . A null circle is therefore the intersection of the level surfaces  $S_1: \Re H(x, \rho) = 0$  and  $S_2: \Im H(x, \rho) = 0$ . The set of all null circles of  $H(x, \rho)$  is finite unless  $\Re H(x, \rho) \equiv 0$  or  $\Im H(x, \rho) \equiv 0$  when it is the entire level surface  $S_2$  or  $S_1$ , respectively.

Let us first recall the following result about the zeros of an infrapolynomial on  $\omega \subset \mathbb{C}$ , due to Féjer [3, p. 23].

**THEOREM 4.1.** *Let  $E$  be a closed bounded set in  $\mathbb{C}$  and  $p(\zeta)$  an infrapolynomial on  $E$ . Then all the zeros of  $p$  lie in the convex hull of  $E$ .*

In applying Theorem 4.1, we must again replace  $E$  by a bounded axiconvex region  $\omega$  which is the meridian section of an axisymmetric region  $\Omega$ . Let us denote by  $c_1$  and  $c_2$  the two points which are on the real axis, left and right of  $\omega$ , respectively, and from which  $\omega$  subtends an angle of  $\pi/n$ . Thus,  $\omega$  lies in the intersection of the two sectors

$$-(\pi/2n) \leq \arg(\zeta - c_1) \leq (\pi/2n), \quad (4.1)$$

$$\pi - (\pi/2n) \leq \arg(\zeta - c_2) \leq \pi + (\pi/2n). \quad (4.2)$$

Let us denote by  $K_1(\omega, n)$  and  $K_2(\omega, n)$  the cones obtained on revolving about the axis of reals the two sectors

$$\pi - (\pi/2n) \leq \arg(\zeta - c_1) \leq \pi + (\pi/2n) \quad (4.3)$$

$$-\pi/2n \leq \arg(\zeta - c_2) \leq \pi/2n. \quad (4.4)$$

Alternatively, to obtain, for example,  $K_2(\omega, n)$  geometrically, we may take a double nappe cone of vertex angle  $\pi/n$  and slide it as far as possible to the left with its axis along the  $x$ -axis and yet have the left nappe contain  $\Omega$ . The right nappe is then  $K_2(\omega, n)$ .

We are now in a position to establish

**THEOREM 4.2.** *Let  $\omega \subset \mathbb{C}$  be a bounded axiconvex region, which is the meridian section of  $\Omega$ , an axisymmetric region in  $\mathbb{R}^3$ . Let  $P(x, \rho)$  be an axi-*

symmetric harmonic infrapolynomial on  $\Omega$ . Then no circle  $(x, \rho)$  for which  $P(x, \rho) = 0$  may lie in either cone:

$$K_j(\omega, n): \quad 0 < \rho \leq (-1)^j(x - c_j) \tan(\pi/2n), \quad j = 1, 2, \quad (4.5)$$

where the  $c_j$  are defined as above.

*Proof.* By Theorem 3.1, the associate  $p(\zeta)$  of  $P(x, \rho)$  is an infrapolynomial on  $\omega$  and by Theorem 4.1 all the zeros  $\zeta_j$ ,  $j = 1, 2, \dots, n$  of  $p(\zeta)$  lie in the convex hull  $\kappa$  of  $\omega$ . The region  $\kappa$  also lies in the intersection of the two sectors (4.1) and (4.1).

Let us write

$$p(\zeta) = (\zeta - \zeta_1)(\zeta - \zeta_2) \cdots (\zeta - \zeta_n)$$

and thus

$$P(x, \rho) = (1/2\pi) \int_0^{2\pi} \prod_{j=1}^n (x + i\rho \cos t - \zeta_j) dt.$$

Let us assume that there is a circle  $(x_0, \rho_0)$  in the cone  $K_2(\omega, n)$  such that  $P(x_0, \rho_0) = 0$ . Then

$$\int_0^{2\pi} w(t) dt = 0, \quad (4.5)$$

where

$$w(t) = \prod_{j=1}^n (\zeta_j - x_0 - i\rho_0 \cos t). \quad (4.6)$$

These assumptions require point  $x_0 + i\rho_0$  to lie in sector (4.4) and therefore point  $x_0 + i\rho_0 \cos t$  also to lie interior to sector (4.4) for all  $t$ ,  $0 \leq t \leq 2\pi$ . Since  $\zeta_j \in \kappa$  for  $j = 1, 2, \dots, n$  and since  $\kappa$  lies in sector (4.2), it follows that

$$\pi - (\pi/2n) < \arg(\zeta_j - x_0 - i\rho_0 \cos t) < \pi + (\pi/2n)$$

for each  $j$  and, because of (4.6),

$$n\pi - (\pi/2) < \arg w(t) < n\pi + (\pi/2).$$

Hence,  $\Re[e^{-n\pi i} w(t)] > 0$  for  $0 \leq t \leq 2\pi$  and thus  $\Re[e^{-\pi i} \int_0^{2\pi} w(t) dt] > 0$ . This contradicts (4.5) and thus the assumption that  $P(x_0, \rho_0) = 0$  for circle  $(x_0, \rho_0) \subset K_2(\omega, n)$ , is invalid. Using similar reasoning for circles  $(x_0, \rho_0) \subset K_1(\omega, n)$ , we complete the proof of Theorem 4.2.

*Remark 1.* Theorem 4.2 remains valid if  $\|H(x, \rho)\|$  as defined by (2.7) is replaced by any other norm for which Theorem 3.1 is true.



5. GENERALIZATION TO  $\mathbf{R}^N$ 

We shall extend the preceding results to axisymmetric harmonic functions  $F(x_1, x_2, \dots, x_N)$  of  $N$  real variables; that is, solutions of the Laplace equation

$$\Delta^2 F = \sum_{j=1}^N (\partial^2 F / \partial x_j^2) = 0. \quad (5.1)$$

The axisymmetric case corresponds to the one in which  $F$  is a function just of  $x$  and  $\rho$  where

$$x = x_1, \quad \rho^2 = x_2^2 + x_3^2 + \dots + x_N^2. \quad (5.2)$$

In this case (5.1) reduces to

$$(\partial/\partial x)(\rho^{N-2} \partial F/\partial x) + (\partial/\partial \rho)(\rho^{N-2} \partial F/\partial \rho) = 0. \quad (5.3)$$

On introducing polar coordinates into Eq. (5.3)

$$x = r \cos \theta, \quad \rho = r \sin \theta$$

and using the method of separating variables, we find the basic solutions of (5.3) in the form

$$r^n P_n^{(\mu)}(\cos \theta), \quad 2\mu = N - 2, \quad (5.4)$$

where  $P_n^{(\mu)}(\cos \theta) = P_n^{(\alpha, \beta)}(\cos \theta)$ ,  $2\alpha = N - 3$ , and where  $P_n^{(\alpha, \beta)}(\cos \theta)$  and  $P_n^{(\mu)}(\cos \theta)$  are, respectively, the Jacobi and Gegenbauer polynomials of degree  $n$ .

We are thus led to consider the axisymmetric harmonic polynomials in  $\mathbf{R}^N$

$$H(x, \rho) = \sum_{j=0}^n A_j r^j P_j^{(\mu)}(x/r), \quad 2\mu = N - 2, \quad (5.5)$$

with  $A_n = 1$ . For such a polynomial the following holds.

**THEOREM 5.1.** *The harmonic function (5.5) may be written in the form*

$$H(x, \rho) = 2^{3-N} \Gamma(\mu)^{-2} \int_0^\pi h(x + i\rho \cos t) \sin^{N-3} t \, dt \quad (5.6)$$

$$h(\zeta) = \sum_{j=0}^n a_j \zeta^j, \quad (5.7)$$

with  $a_j = [F(j + 2\mu)/j!] A_j$ .

*Proof.* The expression (5.5) follows directly from the representation [2, p. 167]

$$r^n P_n^{(\mu)}(\cos \theta) = \frac{2^{1-2\mu} \Gamma(n+2\mu)}{n! \Gamma(\mu)^2} \int_0^\pi (x + ip \cos t)^n \sin^{N-3} t \, dt. \quad (5.8)$$

We refer to the polynomial in (5.7) as the *associate* of the polynomial  $H(x, \rho)$  given by (5.5).

By analogy with Section 2, we define the norm  $\|H(x, \rho)\|$  by the expression

$$\|H(x, \rho)\|^2 = 2^{3-N} \Gamma(\mu)^{-2} \int_0^\pi |h(x + ip \cos t)|^2 \sin^{N-3} t \, d\sigma(t). \quad (5.9)$$

If now we are given two polynomials  $P(x, \rho)$  and  $Q(x, \rho)$  of type (5.5), we say that  $Q(x, \rho)$  is an underpolynomial of  $P(x, \rho)$  on an axisymmetric region  $\Omega \subset \mathbf{R}^N$  if  $\|Q(x, \rho)\| < \|P(x, \rho)\|$  for all  $(x, \rho) \in \Omega$  and that  $P(x, \rho)$  is an axisymmetric harmonic infrapolynomial on  $\bar{\Omega}$  if it has no underpolynomial  $Q(x, \rho)$  on  $\bar{\Omega}$ .

By the same reasoning as for Theorem 3.1, we may establish the following:

**THEOREM 5.2.** *Let  $\omega \subset \mathbf{C}$  be a bounded axiconvex region and let  $\Omega \subset \mathbf{R}^N$  be the region comprising the loci  $x = x_0, x_2^2 + x_3^2 + \cdots + x_N^2 = \rho_0^2$  for all  $x_0 + ip_0 \in \omega$ . If  $P(x, \rho)$  is an axisymmetric harmonic infrapolynomial on  $\bar{\Omega}$ , its associate  $p(\xi)$  is an infrapolynomial on  $\bar{\omega}$ .*

Again, since (5.6) differs from (2.3) principally because of the nonnegative factors  $2^{3-N} \Gamma(\mu)^{-2} \sin^{N-3} t$  in (5.5), we may use the same reasoning as for Theorem 4.2 to show the following theorem to be valid.

**THEOREM 5.3.** *Let  $\omega \subset \mathbf{C}$  be a bounded axiconvex in  $\mathbf{C}$  and let  $\Omega \subset \mathbf{R}^N$  be the region comprising the loci  $x_1 = x_0, x_2^2 + x_3^2 + \cdots + x_N^2 = \rho_0^2$  for all  $x_0 + ip_0 \in \omega$ . If  $P(x, \rho)$  is an axisymmetric harmonic infrapolynomial on  $\bar{\Omega}$ , then no locus  $(x_0, \rho_0)$  for which  $P(x, \rho) = 0$  has points in either of the cones*

$$0 < (x_2^2 + x_3^2 + \cdots + x_N^2)^{1/2} \leq \pm(x_1 - c_j) \tan(\pi/2n) \quad (5.10)$$

where the  $c_j$  are defined as for Theorem 4.2. for  $j = 1, 2$ .

Also results analogous to Theorems 2.3 and 3.3 are valid, but their statement and proof are left to the reader.

6. EXTENSION TO CERTAIN OTHER HARMONIC INFRAPOLYNOMIALS IN  $\mathbf{R}^3$ 

Let us finally consider harmonic polynomials of the form

$$F(x, y, z) = \sum_{j=J}^n A_j r^j P_j^{m(n-j)}(x/r) \cos m(n-j)\phi, \quad (6.1)$$

where  $A_n = 1$ ;  $m$  and  $J$  are integers with  $m > 0$ ,  $J \geq [mn/(m+1)]$ , and  $P_j^k(\cos \theta)$  is the "associated Legendre function" [4, p. 323]. Clearly,  $F(x, y, z)$  is a harmonic polynomial, but not ordinarily axisymmetric. We may show that a representation of  $F(x, y, z)$  in the form (2.5) is possible on choosing as associate

$$f(\zeta, \tau) = \tau^{-m} f_0(\tau^m \zeta), \quad (6.2)$$

where

$$f_0(\zeta) = \sum_{j=J}^n a_j \zeta^j = \zeta^J f_1(\zeta) \quad (6.3)$$

with

$$a_j = [j + m(n-j)]! / j! A_j$$

and  $\zeta$  given by (2.6) or, since  $\tau = e^{ti}$ , equivalently, by

$$\zeta = x + i(y \cos t + z \sin t) = x + ip \cos(t - \phi). \quad (6.4)$$

We may deduce the desired relation directly from the formula [4, p. 326]

$$r^n P_n^k(\cos \theta) = \frac{(j+k)!}{j!(2\pi)} \int_0^{2\pi} (x + ip \cos t)^n e^{-kti} dt.$$

That is,

$$F(x, y, z) = (1/2\pi) \int_0^{2\pi} f(x + ip \cos(t - \phi), e^{ti}) dt. \quad (6.5)$$

We next define the norm  $\|F(x, y, z)\|$  in terms of the variables  $x, y, z$  or  $x, \rho, \varphi$  in such a way that the norm has the integral representation

$$\|F(x, y, z)\|^2 = (1/2\pi) \int_0^{2\pi} |f(x + ip \cos(t - \phi), e^{ti})|^2 d\sigma(t). \quad (6.6)$$

We then say that  $F(x, y, z)$  is an infrapolynomial on a given region  $\Omega \subset \mathbf{R}^3$  if no polynomial  $G(x, y, z)$  of the same type as (6.1) exists such that  $\|G(x, y, z)\| < \|F(x, y, z)\|$  for all  $(x, y, z) \in \Omega$ .

By reasoning similar to that in the proof of Theorem 3.1, we can now establish the following.

**THEOREM 6.1.** *Let  $\omega \subset \mathbf{C}$  be a bounded axiconvex region and let  $\Omega \subset \mathbf{R}^3$  be the axisymmetric region whose meridian cross section is  $\omega$ . If the harmonic polynomial  $F(x, y, z)$  given by (6.1) is an infrapolynomial on  $\Omega$ , then the corresponding polynomial  $f(\zeta)$  in (6.3) is an infrapolynomial on  $\omega$ .*

According to Theorem 4.1, the zeros of the infrapolynomial

$$f_1(\zeta) = \prod_{j=1}^{n-J} (\zeta - \zeta_j)$$

lie in the convex hull  $\kappa$  of  $\omega$ . Accordingly, since

$$\begin{aligned} f(\zeta, \tau) &= e^{-mnti} e^{Jmti} \zeta^J \prod_{j=1}^{n-J} (e^{mti} \zeta - \zeta_j) \\ f(\zeta, \tau) &= \zeta^J \prod_{j=1}^{n-J} (\zeta - \zeta_j e^{-mti}) \end{aligned} \quad (6.8)$$

the zeros  $\zeta_j e^{-mti}$  therefore lie in the disk  $|\zeta| \leq \delta$ , where  $\delta = \max |\zeta|$  for  $\zeta \in \omega$ .

If now  $F(x, y, z)$  is an infrapolynomial on  $\Omega$  and if  $F(x_0, y_0, z_0) = 0$ , then according to (6.5) and (6.8),

$$\int_0^{2\pi} w(t) dt = 0,$$

where

$$w(t) = (0 - x_0 - ip_0 \cos(t - \varphi_0))^J \prod_{j=1}^{n-J} [\zeta_j e^{-mti} - x_0 - ip_0 \cos(t - \varphi_0)].$$

From here on, the reasoning is similar to that for Theorem 4.2. We thus arrive at the following result.

**THEOREM 6.2.** *Let  $\Omega \subset \mathbf{R}^3$  be an axisymmetric region whose meridian section is a bounded axiconvex region  $\omega$ .*

*Let  $\delta = \max |\zeta|$  for  $\zeta \in \omega$ . If  $F(x, y, z)$  given by (6.1) is a harmonic infrapolynomial on  $\Omega$ , then no point  $(x, y, z)$  for which  $F(x, y, z) = 0$  lies in either of the cones:*

$$0 < \rho \leq \pm x \tan(\pi/2n) - \delta \sec(\pi/2n). \quad (6.9)$$

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